

Your Entity Name \_\_\_\_\_

CSE Reference # \_\_\_\_\_

Date \_\_\_\_\_

Initial Agreement or  
Revision Initial ☐ Revision ☐

## CSE Market Information Agreement and Order Form

This CSE Market Data Information and Order Form ("CSE Market Information Agreement") incorporates the CSE Standard Market Information Terms ("Standard Market Information Terms") available on our Website<sup>1</sup> and governs our delivery and your receipt and use of CSE Market Information. Capitalized terms not defined in this CSE Market Information Agreement have the meanings set out in the Standard Market Information Terms.

The Fees applicable to you are outlined in the Fee Schedules posted on our Website (as may be amended by us from time to time).

### **Section I – Business Objective and Intended Use of CSE Market Information**

Provide a detailed description of every intended use of each CSE Market Information service, including a summary of your business activities and Your Services related to the use of CSE Market Information. Please include a diagram of Your System and Your Services in Appendix A to this CSE Market Information Agreement.

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<sup>1</sup> [TheCSE.com/CSE-Standard-Market-Information-Terms](https://www.cse.com/CSE-Standard-Market-Information-Terms)

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## Section II – CSE Market Information Services

### A. Data Feed Consumption

#### Multicast

Complete this section if you are directly connecting to CSE to receive CSE Market Information from CSE's data centers (Direct Connection Type) or via a Service Facilitator such as an extranet provider (Indirect Connection Type).

Each Multicast access includes A+B broadcast feeds and recovery sessions at TR1 and TR2.

GTE feeds included. CSE Data Feed Licensing fees are included.

Service	Connection Type	Service Facilitators (Network Provider or Extranet Provider)
<input type="checkbox"/> Multicast	<input type="checkbox"/> Direct <input type="checkbox"/> Indirect	
<input type="checkbox"/> Multicast	<input type="checkbox"/> Direct <input type="checkbox"/> Indirect	

☐ If you selected Direct for Connection Type, check this box to confirm that you have received, reviewed, and executed a CSE Connectivity Agreement and Order Form and that you have included a detailed description of your connectivity requirements.

#### CSE Data Feed Licensing

Complete this section if you are consuming CSE Data Feed(s) via a third-party Service Facilitator.

CSE Data Feed Type	Service Facilitator <i>Service provider supplying CSE Data Feed(s)</i>	Installation Addresses <i>Provide the address for each location, Internal and External, receiving a CSE Data Feed</i>	Use Case
<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)			<input type="checkbox"/> Distribution <input type="checkbox"/> Display - External <input type="checkbox"/> Display - Internal <input type="checkbox"/> Non-Display
<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)			<input type="checkbox"/> Distribution <input type="checkbox"/> Display - External <input type="checkbox"/> Display - Internal <input type="checkbox"/> Non-Display
<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)			<input type="checkbox"/> Distribution <input type="checkbox"/> Display - External <input type="checkbox"/> Display - Internal <input type="checkbox"/> Non-Display

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<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)			<input type="checkbox"/> Distribution <input type="checkbox"/> Display - External <input type="checkbox"/> Display - Internal <input type="checkbox"/> Non-Display
<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)			<input type="checkbox"/> Distribution <input type="checkbox"/> Display - External <input type="checkbox"/> Display - Internal <input type="checkbox"/> Non-Display

## B. CSE Data Feed Usage

### Uncontrolled Distribution of CSE Data Feeds

Complete this section if you are Distributing CSE Data Feed(s) to other locations via Uncontrolled Distribution. Non Real-Time Distribution applies if you are Distributing Historical Market Information that includes Level 1 and/or Level 2 CSE Data Feed quotes.

Distribution Type	Feed Type
<input type="checkbox"/> Real-Time Distribution	<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)
<input type="checkbox"/> Non Real-Time Distribution	<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)

☐ Check this box to verify a network diagram identifying each network utilizing CSE Market Information has been included in Appendix A.

☐ Check this box to acknowledge that it is your responsibility to report to CSE all Distribution requests, including new End User and new Internal and External location requests. CSE Data Feed Recipients receiving the CSE Data Feed(s) from you will be required to request approval directly from the CSE prior to the entitlement of CSE Data Feed(s).

### Real-Time Interactive Access

Complete this section if you are providing Controlled Distribution of CSE Market Information to End Users. Per Quote refers to a Non-Professional who uses Market Information on a per-quote or metered basis.

Data Type	End User Type	Control and Entitlement System	Reporting Party
<input type="checkbox"/> CSE, TSX and TSXV Listed	<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)	<input type="checkbox"/> Professional <input type="checkbox"/> Non-Professional	
<input type="checkbox"/> CSE Listed Only	<input type="checkbox"/> Level 1	<input type="checkbox"/> Professional <input type="checkbox"/> Non-Professional	

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<input type="checkbox"/> Level 2 (Level 1 included)			
<input type="checkbox"/> Per Quote	<input type="checkbox"/> Level 1	<input type="checkbox"/> Non-Professional	

☐ Check this box to verify:

- Each Interactive Access enabled by you for an End User will have a separate and unique ID/password that is not shared.
- Your System will not allow simultaneous access to Market Information from multiple locations by the same unique ID/password.
- Your System is able to generate entitlement reports that show the End Users that are entitled to receive Market Information.
- Your System is able to provide a three-year audit trail to identify each End User entitlement addition and deletion.
- For per quote usage only, Your System is able to identify and count real-time quote usage.

Please provide an explanation if these can't be verified:

☐ Check this box to verify that you have included the Minimum End User Terms for the use of CSE Market Information in your standard End User agreements.

☐ Check this box to verify that you have provided us with copies of your standard End User agreements.

### Non-Display Usage

Complete this section if you are using CSE Market Information to add or create value or perform functions.

- Trading Use is related to order generation.
- Non-trading Use refers to usage including but not limited to Analysis Use, creation of Derived Data or indices, intra-day storage of CSE Market Information etc.

Usage Type		Use Case
<input type="checkbox"/> Trading Use	<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)	
<input type="checkbox"/> Non-trading Use	<input type="checkbox"/> Level 1 <input type="checkbox"/> Level 2 (Level 1 included)	

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### **Section III – Your Affiliates**

In accordance with the Standard Market Information Terms, please provide the name and address of each of your Affiliates.

Full Name of Your Affiliate	Address

### **Section IV – Your Contacts**

<b>Company Name</b>	
Main Address (Street Address, City, Province/State, Postal/Zip Code, Country)	
Billing Address if Different From Above (Street Address, City, Province/State, Postal/Zip Code, Country)	
<b>Business Contact</b>	
First Name Last Name	Title
Address (Street Address, City, Province/State, Postal/Zip Code, Country)	
Phone Number	Email Address
<b>Billing Contact</b> <i>for receiving invoices</i>	
First Name Last Name	Title
Address (Street Address, City, Province/State, Postal/Zip Code, Country)	
Phone Number	Email Address

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**Administration Contact** *for data usage reporting*

First Name Last Name

Title

Address (Street Address, City, Province/State, Postal/Zip Code, Country)

Phone Number

Email Address

**Technical Contact**

First Name Last Name

Title

Address (Street Address, City, Province/State, Postal/Zip Code, Country)

Phone Number

Email Address

**Comments (To be completed by CSE)**

***Remainder of Page Intentionally Left Blank.***

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We, CNSX Markets Inc., operate the Canadian Securities Exchange ("CSE"). We agree to provide you with access to Market Information and/or other CSE products and services, as appropriate, in accordance with this CSE Market Information Agreement and Order Form ("CSE Market Information Agreement") and the Standard Market Information Terms ("Standard Market Information Terms") incorporated hereto by reference and posted on our Website at [TheCSE.com/CSE-Standard-Market-Information-Terms](http://TheCSE.com/CSE-Standard-Market-Information-Terms).

By entering into this CSE Market Information Agreement, your duly authorized signatories below certify for you and on your behalf that:

1. You agree that you are bound by and will comply with the Standard Market Information Terms;
2. All the information provided by you above and attached is true, correct, accurate, and complete in all material respects and that you will provide us with updates to the information in writing as necessary to ensure your continued compliance with the Standard Market Information Terms;
3. You will receive, use, and Distribute Market Information in compliance with the Standard Market Information Terms; and
4. You will pay us Fees for the services above in accordance with the Fee Schedules posted on our Website and as amended from time to time.

IN WITNESS WHEREOF, the Parties have caused this CSE Market Information Agreement to be executed by their authorized signatories as of the date set out below:

**CNSX MARKETS INC.****[YOUR LEGAL NAME]**\_\_\_\_\_  
**Name:****Title:****Date:**\_\_\_\_\_  
**Name:****Title:****Date:**\_\_\_\_\_  
**Name:****Title:****Date:**

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## **APPENDIX A TO CSE MARKET INFORMATION AGREEMENT**